



MSSB/UNSO_07/2015

21 August 2015

Circular to Money Service Operators
Anti-Money Laundering/Counter-Terrorist Financing

(1) United Nations (Anti-Terrorism Measures) Ordinance

Further to our circular issued on 22 June 2015, an updated list specifying terrorists and terrorist associates designated by the United Nations Security Council (“UNSC”) was published under section 4 of the United Nations (Anti-Terrorism Measures) Ordinance (“the UNATMO”) (Cap. 575) in the Gazette on 26 June, 10 July, 17 July, 7 August and 14 August 2015 (G.N. 4490, 4908, 5170, 5804 and 6052 of 2015). Relevant press releases issued by the UNSC, reflecting the updates since the previous lists were published in the Gazette (G.N. 3923, 4490, 4908, 5170 and 5804 of 2015).

(2) United Nations Sanctions (Iran) Regulation

Further to our circular issued on 26 February 2013, a list specifying “relevant persons and entities” under section 31 of the United Nations Sanctions (Iran) Regulation (Cap. 537AF) was published in the Gazette on 26 June 2015 (G.N. 4491 of 2015).

(3) United Nations Sanctions (Yemen) Regulation 2015

The United Nations Sanctions (Yemen) Regulation 2015 (“the Yemen Regulation”) (Cap. 537BP), made under the United Nations Sanctions Ordinance (Cap. 537) (“UNSO”), was published in the Gazette (L.N. 166 of 2015) on 17 July 2015 with immediate effect.

The Yemen Regulation implements sanctions against Yemen imposed by the UNSC under Resolutions 2204 and 2216.

Further to the gazettal of the Yemen Regulation on 17 July 2015, a list specifying “relevant persons” under section 30 of the Yemen Regulation was published in the Gazette on 24 July 2015 (G.N. 5323 of 2015). Besides, a list specifying “designated persons” for the purpose of arms embargo measures under section 31 of the Yemen Regulation was published in the Gazette on 24 July 2015 (G.N. 5324 of 2015). This list is identical to the list of “relevant persons” designated for the purpose of financial sanctions under section 30 of the Yemen Regulation (G.N. 5323 of 2015).

We would like to draw Money Service Operators’ (“MSOs”) attention to section 5 of the Yemen Regulation which provides for the prohibition against making available funds or other financial assets or economic resources to relevant persons or entities, or dealing with funds or other financial assets or economic resources of relevant persons or entities, except with a licence.

(4) United Nations Sanctions (Libya) Regulation 2011 (Amendment) Regulation 2015

The United Nations Sanctions (Libya) Regulation 2011 (Amendment) Regulation 2015 (“the Amendment Regulation”), made under the UNSO was published in the Gazette (L.N. 167 of 2015) on 17 July 2015 with immediate effect.

The Amendment Regulation amends existing sanctions against Libya to implement decisions of the UNSC under Resolution 2213.

Please refer to our circular issued on 26 May 2015 for the list specifying “relevant persons and entities which was published in the Gazette on 30 April 2015, under section 38 of the United Nations Sanctions (Libya) Regulation 2011.

We would like to draw MSOs’ attention to section 8 of the Amendment Regulation which provides for the prohibition against engaging in financial transactions related to any crude



oil from Libya aboard ships designated by the relevant UNSC Sanctions Committee.

(5) United Nations Sanctions (Côte d'Ivoire) Regulation 2015

The United Nations Sanctions (Côte d'Ivoire) Regulation 2015 ("the Côte d'Ivoire Regulation") (Cap. 537BQ), made under the UNSO was published in the Gazette (L.N. 168 of 2015) on 17 July 2015 with immediate effect.

The Côte d'Ivoire Regulation implements sanctions against Côte d'Ivoire imposed by the UNSC under Resolutions 2219.

Further to the gazettal of the Côte d'Ivoire Regulation on 17 July 2015, a list specifying "relevant persons" under section 28 of the Côte d'Ivoire Regulation was published in the Gazette on 24 July 2015 (G.N. 5321 of 2015).

We would like to draw MSOs' attention to section 4 of the Côte d'Ivoire Regulation which provides for the prohibition against making available funds or other financial assets or economic resources to relevant persons or entities, or dealing with funds or other financial assets or economic resources of relevant persons or entities, except with a licence.

(6) United Nations Sanctions (South Sudan) Regulation 2015

Further to the gazettal of the United Nations Sanctions (South Sudan) Regulation 2015 (Cap. 537BO) ("the South Sudan Regulation) on 29 May 2015, a list specifying "relevant persons" under section 15 of the South Sudan Regulation was published in the Gazette on 24 July 2015 (G.N. 5322 of 2015).

The aforesaid lists in items (1) to (6) can be found on the Government's website (<http://www.gld.gov.hk/cgi-bin/gld/egazette/index.cgi?lang=e>).

(7) US President's Executive Order 13224

Further to our circular issued on 22 June 2015, this is to inform you that the US Government has updated the list of designated individuals and entities issued under the US President's Executive Order 13224 ("the Executive Order"). The updated information can be found on the website of the US Treasury (<http://www.treasury.gov/resource-center/sanctions/Programs/Documents/terror.pdf>)

As the designated individuals and entities under the Executive Order may be updated by the US Government from time to time, MSOs are reminded to browse the website of the US Treasury regularly for the latest information.

MSOs should check the names in all of the above lists against their records, and report any transactions or relationships they have or have had with the named persons or entities to the Joint Financial Intelligence Unit.

Furthermore, MSOs are reminded to refer to Chapter 6 of the Guideline on Anti-Money Laundering and Counter-Terrorist Financing which contains guidance on the appropriate measures that MSOs should take to ensure compliance with the UNATMO, the regulations made under the UNSO and the Executive Order.

Should you have any queries regarding the contents of this circular, please contact us on 3759 3720.

Money Service Supervision Bureau
Customs and Excise Department

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