



MSSB/UNSO_07/2013

6 August 2013

**Circular to Money Service Operators
Anti-Money Laundering/Counter-Terrorist Financing**

(1) United Nations (Anti-Terrorism Measures) Ordinance

Further to our circular issued on 22 May 2013, an updated list specifying terrorists and terrorist associates designated by the United Nations Security Council (“UNSC”) was published under section 4 of the United Nations (Anti-Terrorism Measures) Ordinance (“the UNATMO”) (Cap. 575) in the Gazette on 28 June 2013 (G.N. 3641 of 2013).

(2) United Nations Sanctions (Democratic Republic of the Congo) Regulation 2013

Further to our circular issued on 23 April 2013, an updated list specifying “relevant entities and persons” designated by the UNSC was published under section 30 of the United Nations Sanctions (Democratic Republic of the Congo) Regulation 2013 (Cap. 537BC) in the Gazette on 28 June 2013 (G.N. 3643 of 2013).

(3) United Nations Sanctions (Afghanistan) Regulation 2012

Further to our circular issued on 22 May 2013, an updated list specifying “relevant entities and persons” designated by the UNSC was published under section 29 of the United Nations Sanctions (Afghanistan) Regulation 2012 (Cap. 537AX) in the Gazette on 28 June 2013 (G.N. 3642 of 2013).

The aforesaid lists in items (1) to (3) can be found on the Government’s website (<http://www.gld.gov.hk/cgi-bin/gld/egazette/index.cgi?lang=e>).

(4) United Nations Sanctions (Côte d’Ivoire) Regulation 2013

The United Nations Sanctions (Côte d’Ivoire) Regulation 2013 (“the Côte d’Ivoire Regulation”), made under the United Nations Sanctions Ordinance (Cap. 537), was published in the Gazette (L.N. 123 of 2013) on 12 July 2013 with immediate effect. The Côte d’Ivoire Regulation implements sanctions against Côte d’Ivoire imposed by the UNSC under Resolution 2101. We would like to draw MSOs’ attention to section 5 of the Côte d’Ivoire Regulation which provides for the prohibition against making available funds or other financial assets or economic resources to certain persons or entities, or dealing with funds or other financial assets or economic resources of certain persons or entities, except with a licence. A list specifying “relevant persons” under section 30 of the Côte d’Ivoire Regulation was published in the Gazette on 19 July 2013 (G.N. 4108 of 2013).



The aforesaid regulation and list can be found on the Government's website (<http://www.gld.gov.hk/cgi-bin/gld/egazette/index.cgi?lang=e>).

(5) US President's Executive Order 13224

Further to our circular issued on 22 May 2013, this is to inform you that the US Government has updated the list of designated individuals and entities issued under the US President's Executive Order 13224 ("the Executive Order"). The updated information can be found on the website of the US Treasury (<http://www.treasury.gov/resource-center/sanctions/Programs/Documents/terror.pdf>)

MSOs should check the names in all of the above lists against their records, and report any transactions or relationships they have or have had with the named persons or entities to the Joint Financial Intelligence Unit.

MSOs are reminded that in accordance with the provisions of Chapter 6 of the Guideline on Anti-Money Laundering and Counter-Terrorist Financing, they should maintain a database of individuals and entities designated under the United Nations (Anti-Terrorism Measures) Ordinance, United Nations Sanctions Ordinance and US Executive Order 13224 for client and transaction screening purposes.

Furthermore:

For (1) to (4), MSOs are reminded to take all necessary steps to ensure compliance with the UNATMO and the Regulations made under the United Nations Sanctions Ordinance.

For (5), as the designated individuals and entities under the Executive Order may be updated by the US Government from time to time, MSOs are reminded to browse the website of the US Treasury regularly for the latest information.

Should you have any queries regarding the contents of this circular, please contact us on 3759 3755.

Money Service Supervision Bureau
Customs and Excise Department

End