



MSSB/UNSO_05/2013

22 May 2013

Circular to Money Service Operators Anti-Money Laundering / Counter-Terrorist Financing

(1) United Nations Sanctions (Democratic People's Republic of Korea) (Amendment) Regulation 2013

The United Nations Sanctions (Democratic People's Republic of Korea) (Amendment) Regulation 2013 ("the DPRK Amendment Regulation"), made under the United Nations Sanctions Ordinance (Cap. 537), was published in the Gazette (L.N. 54 of 2013) on 12 April 2013. It came into effect on the same date.

The DPRK Amendment Regulation amends the United Nations Sanctions (Democratic People's Republic of Korea) Regulation ("the DPRK Regulation") to implement United Nation Security Council ("UNSC") Resolution 2087 to expand the scope of sanctions against Democratic People's Republic of Korea under UNSC Resolution 1718 to include a list of additional items, materials, equipment, goods and technology related to ballistic missile programmes.

In addition, an updated list specifying "relevant entities and persons" designated by the UNSC was published under section 31 of the DPRK Regulation (Cap. 537AE) in the Gazette on 19 April 2013 (G.N. 2077 of 2013).

The aforesaid regulation and list can be found on the Government's website (<http://www.gld.gov.hk/cgi-bin/gld/egazette/index.cgi?lang=e>).

(2) United Nations (Anti-Terrorism Measures) Ordinance

Further to our circular issued on 26 March 2013, an updated list specifying terrorists and terrorist associates designated by the UNSC was published under section 4 of the United Nations (Anti-Terrorism Measures) Ordinance ("the UNATMO") (Cap. 575) in the Gazette on 26 April 2013 (G.N. 2219 of 2013).

(3) United Nations Sanctions (Afghanistan) Regulation 2012

Further to our circular issued on 26 March 2013, an updated list specifying "relevant entities and persons" designated by the UNSC was published under section 29 of the United Nations Sanctions (Afghanistan) Regulation 2012 (Cap. 537AX) in the Gazette on 26 April 2013 (G.N. 2220 of 2013).

(4) United Nations Sanctions (Libya) Regulation 2011

Further to our circular issued on 9 May 2012, an updated list specifying "relevant entities and persons" designated by the UNSC was published under section 38 of



the United Nations Sanctions (Libya) Regulation 2011 (Cap. 537AW) in the Gazette on 26 April 2013 (G.N. 2221 of 2013).

(5) United Nations Sanctions (Sudan) Regulation

Further to our circular issued on 9 May 2012, an updated list specifying “relevant persons” designated by the UNSC was published under section 23A of the United Nations Sanctions (Sudan) Regulation (Cap. 537W) in the Gazette on 26 April 2013 (G.N. 2222 of 2013).

The aforesaid lists in items (2) to (5) can be found on the Government’s website (<http://www.gld.gov.hk/cgi-bin/gld/egazette/index.cgi?lang=e>).

(6) US President’s Executive Order 13224

Further to our circular issued on 26 March 2013, this is to inform you that the US Government has updated the list of designated individuals and entities issued under the US President’s Executive Order 13224 (“the Executive Order”). The updated information can be found on the website of the US Treasury (<http://www.treasury.gov/resource-center/sanctions/Programs/Documents/terror.pdf>)

MSOs should check the names in all of the above lists against their records, and report any transactions or relationships they have or have had with the named persons or entities to the Joint Financial Intelligence Unit.

MSOs are reminded that in accordance with the provisions of Chapter 6 of the Guideline on Anti-Money Laundering and Counter-Terrorist Financing, they should maintain a database of individuals and entities designated under the United Nations (Anti-Terrorism Measures) Ordinance, United Nations Sanctions Ordinance and US Executive Order 13224 for client and transaction screening purposes.

Furthermore:

For (1) to (5), MSOs are reminded to take all necessary steps to ensure compliance with the UNATMO and the Regulations made under the United Nations Sanctions Ordinance.

For (6), as the designated individuals and entities under the Executive Order may be updated by the US Government from time to time, MSOs are reminded to browse the website of the US Treasury regularly for the latest information.

Should you have any queries regarding the contents of this circular, please contact us on 3759 3755.

Money Service Supervision Bureau
Customs and Excise Department

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