



MSSB/UNSO_04/2017
4 December 2017

Circular to Money Service Operators
Anti-Money Laundering / Counter-Terrorist Financing

(1) United Nations (Anti-Terrorism Measures) Ordinance

Further to our circular issued on 29 September 2017, an updated list specifying terrorists and terrorist associates designated by the United Nations Security Council (“UNSC”) was published under section 4 of the United Nations (Anti-Terrorism Measures) Ordinance (“the UNATMO”) (Cap. 575) in the Gazette on 22 September, 6 October and 20 October 2017 (G.N. 7070, 7412 and 7654 of 2017).

(2) United Nations Sanctions (Democratic Republic of the Congo) Regulation 2017

The United Nations Sanctions (Democratic Republic of the Congo) Regulation 2017 (“the DRC Regulation”), made under the United Nations Sanctions Ordinance (Cap. 537) (“UNSO”), was published in the Gazette (L.N. 149 of 2017) on 15 September 2017 with immediate effect.

The DRC Regulation implements sanctions against the Democratic Republic of the Congo imposed by the UNSC under Resolution 2360.

We would like to draw Money Service Operators’ (“MSOs”) attention to section 5 of the DRC Regulation which provides for the prohibition against making available funds or other financial assets or economic resources to relevant persons or entities, or dealing with funds or other financial assets or economic resources of relevant persons or entities, except with a licence.

Further to the gazettal of the DRC Regulation on 15 September 2017, a list specifying “relevant persons and entities” under section 30 of the DRC Regulation was published in the Gazette on 22 September 2017 (G.N. 7072 of 2017).

(3) United Nations Sanctions (South Sudan) Regulation 2017

The United Nations Sanctions (South Sudan) Regulation 2017 (“the South Sudan Regulation”), made under the UNSO, was published in the Gazette (L.N. 150 of 2017) on 15 September 2017 with immediate effect.

The South Sudan Regulation implements sanctions against South Sudan imposed by the UNSC under Resolution 2353.

We would like to draw MSOs’ attention to section 2 of the South Sudan Regulation which provides for the prohibition against making available funds or other financial assets or economic resources to relevant persons or entities, or dealing with funds or other financial assets or economic resources of relevant persons or entities, except with a licence.

Further to the gazettal of the South Sudan Regulation on 15 September 2017, a list specifying “relevant persons” under section 15 of the South Sudan Regulation was published in the Gazette on 22 September 2017 (G.N. 7071 of 2017).



(4) United Nations Sanctions (Democratic People's Republic of Korea) Regulation

Further to our circular issued on 29 September 2017, an updated list specifying "relevant persons and entities" under section 31 of the United Nations Sanctions (Democratic People's Republic of Korea) Regulation (Cap. 537AE) was published in the Gazette on 15 September 2017 (G.N. 6857 of 2017).

(5) United Nations Sanctions (Libya) Regulation 2011 (Amendment) Regulation 2017

The United Nations Sanctions (Libya) Regulation 2011 (Amendment) Regulation 2017 ("the Amendment Regulation"), made under the UNSO, was published in the Gazette (L.N. 151 of 2017) on 29 September 2017 with immediate effect.

The Amendment Regulation amends existing sanctions against Libya to implement decisions of the UNSC under Resolution 2362.

We would like to draw MSOs' attention to section 12 of the Amendment Regulation which adds the new sections 7G and 7H to United Nations Sanctions (Libya) Regulation 2011 to provide for the prohibition against engaging in financial transactions related to any petroleum from Libya aboard ships designated by the relevant UNSC Sanctions Committee.

(6) United Nations Sanctions (Sudan) Regulation 2013

Further to our circular issued on 15 July 2014, an updated list specifying "relevant persons" under section 31 of the United Nations Sanctions (Sudan) Regulation 2013 (Cap. 537BF) was published in the Gazette on 27 October 2017 (G.N. 7845 of 2017).

The aforesaid lists in items (1) to (4) and (6) and regulations in items (2), (3) and (5) can be found on the Government's website

(<http://www.gld.gov.hk/cgi-bin/gld/egazette/index.cgi?lang=e>).

MSOs should check the names in the lists in items (1) to (4) and (6) against their records, and report any transactions or relationships they have or have had with the named persons or entities to the Joint Financial Intelligence Unit.

Furthermore, MSOs are reminded to refer to Chapter 6 of the Guideline on Anti-Money Laundering and Counter-Terrorist Financing which contains guidance on the appropriate measures that MSOs should take to ensure compliance with the UNATMO and the regulations made under the UNSO.

Should you have any queries regarding the contents of this circular, please contact us on 2707 7819.

Money Service Supervision Bureau
Customs and Excise Department

End