



MSSB/UNSO_03/2017

29 September 2017

**Circular to Money Service Operators
Anti-Money Laundering / Counter-Terrorist Financing**

(1) United Nations (Anti-Terrorism Measures) Ordinance

Further to our circular issued on 5 May 2017, an updated list specifying terrorists and terrorist associates designated by the United Nations Security Council (“UNSC”) was published under section 4 of the United Nations (Anti-Terrorism Measures) Ordinance (“the UNATMO”) (Cap. 575) in the Gazette on 12 May, 16 June, 30 June, 14 July, 4 August, 11 August, 25 August and 8 September 2017 (G.N. 3058, 3896, 4346, 4808, 5538, 5773, 6235 and 6644 of 2017).

(2) United Nations Sanctions (Central African Republic) Regulation 2017

The United Nations Sanctions (Central African Republic) Regulation 2017 (“the CAR Regulation”), made under the United Nations Sanctions Ordinance (Cap. 537) (“UNSO”), was published in the Gazette (L.N. 79 of 2017) on 12 May 2017 with immediate effect.

The CAR Regulation implements sanctions against the Central African Republic as renewed by the UNSC under Resolution 2339.

We would like to draw Money Service Operators (“MSOs”) attention to section 5 of the CAR Regulation which provides for prohibition against making available funds or other financial assets or economic resources to relevant persons or entities, or dealing with funds or other financial assets or economic resources of relevant persons or entities, except with a licence.

Further to the gazettal of the CAR Regulation on 12 May 2017, a list specifying “relevant persons and entities” under section 32 of the CAR Regulation was published in the Gazette on 19 May 2017 (G.N. 3174 of 2017). After that, an updated list specifying “relevant persons and entities” was published in the Gazette on 26 May 2017 (G.N. 3396 of 2017).

(3) United Nations Sanctions (Yemen) Regulation 2015 (Amendment) Regulation 2017

The United Nations Sanctions (Yemen) Regulation 2015 (Amendment) Regulation 2017 (“the Yemen Amendment Regulation”), made under the UNSO, was published in the Gazette (L.N. 80 of 2017) on 12 May 2017 with immediate effect.

The Yemen Amendment Regulation implements sanctions against Yemen as renewed by the UNSC under Resolution 2342.



We would like to draw MSOs' attention to section 4 of the Yemen Amendment Regulation which adds the new section 5B to the United Nations Sanctions (Yemen) Regulation 2015 to provide for prohibition against making available funds or other financial assets or economic resources to relevant persons or entities, or dealing with funds or other financial assets or economic resources of relevant persons or entities, except with a licence.

(4) United Nations Sanctions (Yemen) Regulation 2015

Further to the gazettal of the Yemen Amendment Regulation on 12 May 2017, a list specifying "relevant persons" under section 30B of the United Nations Sanctions (Yemen) Regulation 2015 (Cap. 537BP) was published in the Gazette on 19 May 2017 (G.N. 3173 of 2017).

(5) United Nations Sanctions (Democratic People's Republic of Korea) Regulation

Further to our circular issued on 23 January 2017, an updated list specifying "relevant persons and entities" under section 31 of the United Nations Sanctions (Democratic People's Republic of Korea) Regulation (Cap. 537AE) was published in the Gazette on 9 June and 11 August 2017 (G.N. 3776 and 5774 of 2017).

The aforesaid lists in items (1), (2), (4) and (5) and regulations in items (2) and (3) can be found on the Government's website

<http://www.gld.gov.hk/cgi-bin/gld/egazette/index.cgi?lang=e>.

MSOs should check the names in the lists in items (1), (2), (4) and (5) against their records, and report any transactions or relationships they have or have had with the named persons or entities to the Joint Financial Intelligence Unit.

Furthermore, MSOs are reminded to refer to Chapter 6 of the Guideline on Anti-Money Laundering and Counter-Terrorist Financing which contains guidance on the appropriate measures that MSOs should take to ensure compliance with the UNATMO and the regulations made under the UNSO.

Should you have any queries regarding the contents of this circular, please contact us on 2707 7819.

Money Service Supervision Bureau

Customs and Excise Department

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