



香港海關  
CUSTOMS AND EXCISE DEPARTMENT  
交易報告  
Statement of Transactions

香港法例 第 615 章《打擊洗錢及恐怖分子資金籌集(金融機構)條例》  
Anti-Money Laundering and Counter-Terrorist Financing  
(Financial Institutions) Ordinance, Chapter 615

201 年 月 日至 201 年 月 日的定期申報表  
Periodic Return for the period from \_\_\_\_\_ (dd/mm/yyyy) to \_\_\_\_\_ (dd/mm/yyyy)

請用正楷及黑色筆填寫表格。

Please fill in the form in capital letter and black ink.

持牌人姓名 / 名稱:

Name of licensee : \_\_\_\_\_

金錢服務經營者牌照號碼:

Money Service Operator Licence No.: \_\_\_\_\_

業務性質:  貨幣兌換  匯款  兩者 (如適用, 請加上「✓」號)  
Nature of business : Money changing Remittance Both (please 「✓」 if applicable)

**第 1 部 – 貨幣兌換交易**

**Part 1 - Money Changing Transactions**

**(A) 買入及賣出交易**

**Purchase and Sale Transactions**

	買入 Purchase		賣出 Sale	
	款額(港元) Amount in HKD	交易宗數 No. of Transactions	款額(港元) Amount in HKD	交易宗數 No. of Transactions
人民幣 RMB				
其他貨幣 Other currencies				
總數 Total:				

**(B) 須執行客戶盡職審查(盡職審查)措施的非經常貨幣兌換交易**

**Occasional Money Changing Transactions requiring Customer Due Diligence (CDD) measures**

	成功交易的宗數 No. of Successful Transactions	拒絕個案數目# No. of Rejected Cases #
人民幣 RMB		
其他貨幣 Other currencies		
總數 Total:		

# 表示客戶盡職審查措施未完成, 因而拒絕交易的個案

denotes rejected transactions that due to incompleteness of CDD requirements



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**第 2 部 – 匯款交易**

**Part 2 – Remittance Transactions**

**(A) 匯出的匯款交易及匯入的匯款交易**

**Outward Remittance Transactions and Inward Remittance Transactions**

	匯出的匯款 Outward Remittance		匯入的匯款 Inward Remittance	
	款額(港元) Amount in HKD	交易宗數 No. of Transactions	款額(港元) Amount in HKD	交易宗數 No. of Transactions
(a) 其他金錢服務經營者 Other money service operators				
(b) 個別人士 Individual persons				
(c) Others 其他				
總數 Total: (a) + (b) + (c)				

**(B) 須執行客戶盡職審查措施的匯款交易宗數**

**Number of Remittance Transactions requiring Customer Due Diligence (CDD) measures**

成功交易的宗數 No. of Successful Transactions	拒絕個案數目# No. of Rejected Cases #

# 表示客戶盡職審查措施未完成，因而拒絕交易的個案

denotes rejected transactions due to incompleteness of CDD requirements

**第 3 部 – 可疑交易報告**

**Part 3 – Suspicious Transaction Report**

曾向香港警務處聯合財富情報組報告的可疑交易報告宗數: Number of suspicious transactions reported to the Joint Financial Intelligence Unit of the Hong Kong Police Force:	
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第 4 部 – 提交此申報表人士的聲明 (見於第 4 部內的備註)

Part 4 – Declaration by the person who submits this return (see remarks in Part 4)

本人謹此聲明:-

I declare that:-

(a)本申報表內提供的資料是真實無誤的;

**the information given in this return is true and accurate;**

(b)本人已閱讀此定期申報表的收集個人資料聲明，以及明白當中內容。

**I have read the Personal Information Collection Statement in this Periodic Return and understand the contents stated therein.**

\_\_\_\_\_  
(\*持牌人 / 獲授權的合夥人 / 董事或人士  
簽署及公司印章)

(\*Signature of the licensee / authorized  
person of the licensed partnership /  
authorized director or person of the  
licensed corporation and company chop)

\_\_\_\_\_  
(姓名 (正楷))  
(Name in block letters)

\_\_\_\_\_  
(香港身份證號碼 /  
旅遊證件號碼\*)  
(HKID No. / Travel  
Documents No.\* )

\_\_\_\_\_  
(在持牌人業務/法團擔任的職位)  
(Position in the Licensee's  
Business / Corporation)

\_\_\_\_\_  
(聯絡電話號碼)  
(Contact Telephone No.)

\_\_\_\_\_  
(日期)  
(Date)

備註: 提交此申報表的人士必須是:—

Remarks: The person who submits this return must be:-

(a) 如持牌人屬獨資經營，該獨資經營者；

**the sole proprietor, if the licensee is a sole proprietorship;**

(b) 如持牌人屬合夥，則獲每名合夥人書面授權的合夥人，並應隨附授權書；

**the partner authorized in writing by every partner, if the licensee is a partnership. The authorization letter should be attached;**

(c) 如持牌人屬法團，則獲董事局書面授權的董事或人士，並應隨附授權書。

**the director or the person, authorized in writing by the Board of Directors, if the licensee is a corporation. The authorization letter should be attached.**

請將填妥的交易報告定期申報表呈交:-

Please submit the completed Statement of Transactions Periodic Return to:-

香港海關 金錢服務監理科 香港 北角 渣華道 222 號 海關總部大樓 13 樓

Money Service Supervision Bureau Customs and Excise Department

13/F, Customs Headquarters Building, 222 Java Road, North Point, Hong Kong

\*請刪去不適用者 Please delete as appropriate



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收集個人資料聲明  
Personal Information Collection Statement

1. 收集目的

**Purpose of Collection**

本申報表所提供的個人資料及任何更新資料，將會用於處理申請 / 記錄用途 / 更新紀錄 及 進行調查，藉以執行第 615 章《打擊洗錢及恐怖分子資金籌集(金融機構)條例》(打擊洗錢條例) 的規定。

The personal data provided in this return as well as any updates will be used for facilitating processing of applications / record purpose / record update and investigations for the enforcement of the Anti-Money Laundering and Counter-Terrorist Financing (Financial Institutions) Ordinance (AMLO), Chapter 615.

2. 資料轉介

**Classes of Transferees**

在本申報表填報的個人資料及任何更新資料，可能會向其他政府部門 / 政策局或機構披露，以履行上文第 1 段所載的目的；或根據打擊洗錢條例第 49 條向任何第三者披露；或在法律授權或規定的情況下須作出此等披露。

The personal data you provide in this return as well as any updates of them may be disclosed to other Government departments / bureaux or organizations for the purposes mentioned in paragraph 1 above; or any third parties under section 49 of the AMLO; or where such disclosure is authorized or required by law.

3. 查閱個人資料

**Access to Personal Data**

根據《個人資料〔私隱〕條例》(私隱條例)的規定，你有權查閱及更正你的個人資料。查閱的權利包括有權索取此申報表內填報的個人資料的複本。根據私隱條例的規定，本署有權收取合理費用，以處理任何查閱資料要求。

You have the right of access to and correction of your personal data as provided for in the Personal Data (Privacy) Ordinance (PDPO). Your right of access includes the right to obtain a copy of your personal data provided in this return. In accordance with the provision of the PDPO, we have the right to charge a reasonable fee for the processing of any data access request.

4. 個人資料的查詢

**Enquiries to Personal Data**

如欲查詢本申報表所收集的個人資料，包括查閱及更正資料要求，請聯絡：

Enquiries concerning the personal data collected by this return, including the request for access to and correction of personal data, should be addressed to:

香港北角渣華道222號  
海關總部大樓31樓  
香港海關  
內務行政科  
內務秘書

Departmental Secretary  
Office of Departmental Administration  
Customs and Excise Department  
31/F, Customs Headquarters Building  
222 Java Road, North Point, Hong Kong.